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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-----------------|-------------|----------------------|---------------------|------------------|--|
| 09/554.599      | 05/26/2000  | KAZLIO IMAMURA       | 0819-383            | 3944             |  |

TITLE OF INVENTION: FIBER GRATING AND METHOD AND APPARATUS FOR FABRICATING THE SAME

| nonprovisional  EXAMINER  KIANNI, KAVEH OF CONTROL OF C | or indication of "Feess (or Change of Ced.  De Address" Indicatement) attached. Use | Correspondence ion form e of a Customer E PRINTED ON T                       | 2. For pring names of agents OR firm (having agent) and attorneys will be pringer.   |  | attorneys or 1 NIXO e of a single d attorney or 2 istered patent       | 03/24/2004<br>ON PEABODY,LI                        |
|--|---|--|--|--|--|--|
| KIANNI, KAVEH (  1. Change of correspondence address CFR 1.363).  Change of correspondence address form PTO/SB/122) attached the correspondence address form PTO/SB/122) attached in the correspondence address form PTO/SB/47; Rev 03-02 or more repro/SB/47; Rev 03-02 or more repro/ | or indication of "Feess (or Change of Ced.  De Address" Indicatement) attached. Use | 2877 ee Address" (37 Correspondence tion form e of a Customer E PRINTED ON T | 2. For pring names of agents OR firm (having agent) and attorneys will be pringed.   | 385-037000  Inting on the patent front paging to 3 registered patent and a large at a la | attorneys or 1 NIXO e of a single d attorney or 2 istered patent       | ON PEABODY, LI                                     |
| D. Change of correspondence address CFR 1.363).  ☐ Change of correspondence address form PTO/SB/122) attach.  ☐ "Fee Address" indication (or "Fe PTO/SB/47; Rev 03-02 or more re Number is required.  B. ASSIGNEE NAME AND RESIDE  | or indication of "Feess (or Change of Ced.  De Address" Indicatement) attached. Use | correspondence cion form e of a Customer E PRINTED ON T                      | 2. For pring names of agents OR firm (having agent) and attorneys will be pring the control of t | nting on the patent front pag<br>up to 3 registered patent<br>R, alternatively, (2) the name<br>management a registered<br>the names of up to 2 region<br>or agents. If no name is list<br>nted.   | attorneys or 1 NIXO e of a single d attorney or 2 istered patent       | ON PEABODY,LI                                      |
| CFR 1.363).  Change of correspondence addres form PTO/SB/122) attached "Fee Address" indication (or "Fe PTO/SB/47; Rev 03-02 or more re Number is required.  ASSIGNEE NAME AND RESIDE  | ess (or Change of C<br>ed.<br>ee Address" Indicat<br>iccent) attached. Use          | Correspondence ion form e of a Customer E PRINTED ON T                       | names of<br>agents OR<br>firm (havi<br>agent) and<br>attorneys of<br>will be prin  | up to 3 registered patent R, alternatively, (2) the naming as a member a registered the names of up to 2 region agents. If no name is list nted.   | attorneys or 1 NIXO e of a single d attorney or 2 istered patent       | ON PEABODY,LI                                      |
|  |   |  | THE PATENT   | Γ (print or type)  |  |  |
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| j            |   | 1411 9         |                      | Complete if Known |  |
| 4            | TOTAL TOTAL PLOT AT THE                           | JAN 2 2 2004 S | Application Number   | 09/554,599        |  |
| <b>3</b> y E | LED THOUSANTING                                   |                | Filing Date          | May 26, 2000      |  |
| •            | FOR FY 2009  Patent fees are subject to annual re | A. A.          | First Named Inventor | Kazuo Imamura     |  |
|              | Patent fees are subject to annual re              | VISION DEMARK  | Examiner Name        | Kaveh C. Kianni   |  |
|              | Applicant claims small entity status. See 3       |                | Art Unit             | 2877              |  |
| TO           | TAL AMOUNT OF PAYMENT                             | (\$)1345.00    | Attorney Docket No.  | 740819-383        |  |

| METHOD OF PAYMENT (check all that apply)                                  |  |  |                  | F                   | FEE CALCULATION (continued)   |     |  |  |
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| Order   | Large  | Entity   | Small            | Entity              |   |     |  |  |
| Deposit   | Fee  | Fee  | Fee              | Fee                 | Fee Description   |     |  |  |
| Account Number 19-2380  | Code<br>1051   | ( <b>\$</b> )  | <b>Code</b> 2051 | ( <b>\$</b> )<br>65 | Surcharge - late filing fee or oath   | 1   |  |  |
| Number  | 1052   | 50   | 2052             | 25                  | Surcharge - late provisional filing fee or cover  | 1 1 |  |  |
|   | 1053   | 130  | 1053             | 130                 | sheet Non-English specification   | 1   |  |  |
| Deposit<br>Account Nixon Peabody LLP                                      | 1812   | 2,520  | 1812             | 2,520               | For filing a request for ex parte reexamination   | ┨   |  |  |
| Name  | 1804   | 920*   | 1804             | 920*                | Requesting publication of SIR prior to Examiner   | ł   |  |  |
| The Commissioner is authorized to: (check all that apply)                 |  |  |                  |                     | action  | [   |  |  |
| Charge fee(s) indicated below Credit any overpayments                     | 1805   | 1,840*   | 1805             | 1,840*              | Requesting publication of SIR after Examiner action   | 1   |  |  |
| Charge any additional fee(s)  | 1251   | 110  | 2251             | 55                  | Extension for reply within first month  | 1   |  |  |
| Charge fee(s) indicated below, except for the filing fee                  | 1252   | 410  | 2252             | 205                 | Extension for reply within second month   | 1   |  |  |
| to the above-identified deposit account.                                  | 1253   | 930  | 2253             | 465                 | Extension for reply within third month  | ]   |  |  |
| FEE CALCULATION   | 1254   | 1,450  | 2254             | 725                 | Extension for reply within fourth month   | 1   |  |  |
| 1. BASIC FILING FEE   | 1255   | 1,970  | 2255             | 985                 | Extension for reply within fifth month  | 1   |  |  |
| Large Entity Small Entity Fee Fee Fee Fee Description                     | 1401   | 320  | 2401             | 160                 | Notice of Appeal  | 1   |  |  |
| Fee Fee Fee Fee Description  Code (\$) Code (\$)  Fee Paid                | 1402   | 320  | 2402             | 160                 | Filing a brief in support of an appeal  | 1   |  |  |
| <del></del>   | 1403   | 280  | 2403             | 140                 | Request for oral hearing  | -   |  |  |
| 1001 750 2001 375 Utility filing fee                                      | 1451<br>1452   | 1,510<br>110   | 1451             | 1,510<br>55         | Petition to institute a public use proceeding  Petition to revive – unavoidable                         | 1   |  |  |
| 1002 330 2002 165 Design filing fee                                       | 1453   | 1,300  | 2453             | 650                 | Petition to revive – unintentional  | 1   |  |  |
| 1003 520 2003 260 Plant filing fee  | 1501   | 1,330  | 2501             | 665                 | Utility issue fee (or reissue) 1,330.00   | -   |  |  |
| 1004 750 2004 375 Reissue filing fee                                      | 1502   | 470  | 2502             | 235                 | Design issue fee  | -   |  |  |
| 1005 160 2005 80 Provisional filing fee                                   | 1503   | 630  | 2503             | 315                 | Plant issue fee   | 1   |  |  |
| SUBTOTAL (1) (\$) 0   | 1460   | 130  | 1460             | 130                 | Petitions to the Commissioner   | 1   |  |  |
| (3) 0   | 1807   | 50   | 1807             | 50                  | Processing fee under 37 CFR 1.17(q)   | ┨   |  |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE                               | 1806   | 180  | 1806             | 180                 | Submission of Information Disclosure Strnt  | 1 . |  |  |
| Fee from  | 8021   | 40   | 8021             | 40                  | Recording each patent assignment per property   | 1   |  |  |
| Extra Claims below Fee Paid   | 1  |  |                  |                     | (times number of properties)  | ŀ   |  |  |
| Total Claims20** = X = 0  | 1809   | 750  | 2809             | 375                 | Filing a submission after final rejection (37 CFR 1.129(a))   | ]   |  |  |
| Independent3** = X = 0  |  | 750  | 2810             | 375                 | For each additional invention to be examined (37 CFR 1.129(b))  |     |  |  |
| Claims Multiple Dependent X = 0   | 1801   | 750  | 2801             | 375                 | Request for Continued Examination (RCE)   | 1   |  |  |
| Large Entity Small Entity   | 1802   | 900  | 1802             | 900                 | Request for expedited examination of a design   | ┨╶  |  |  |
| Fee Fee Fee Fee <u>Fee Description</u>                                    |  |  |                  |                     | application 15.00   | 4 . |  |  |
| Code (\$) Code (\$)   | Other  | fee (spec  | ify) <u>5</u>    | <u>Advanc</u>       | ced Copies 13.00  | ╛   |  |  |
| 1202 18 2202 9 Claims in excess of 20                                     | 1  |  |                  |                     |   | ٦   |  |  |
| 1201 84 2201 42 Independent claims in excess of 3                         | *Red   | uced by B  | asic Fili        | ng Fee Pai          | subtotal (3) \$ 1,345.00  |     |  |  |
| 1203 280 2203 140 Multiple dependent claim, if not paid                   |  |  |                  |                     | \$ 1,343.00   | _}  |  |  |
| 1204 84 2204 42 ** Reissue independent claims over                        | CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] |  |                  |                     |   |     |  |  |
| original patent   | l he   | reby certi   |                  |                     | pondence is being:  | ſ   |  |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent |  | , o  | deposite         | ed with the         | ne United States Postal Service on the date shown below with sufficient                                 |     |  |  |
| SUBTOTAL (2) (\$) 0   |  |  | postage<br>Commi | as first cl         | lass mail in an envelope addressed to: Mail Stop, or Patents, P. O. Box 1450, Alexandria, VA 22313-1450 |     |  |  |
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| SUBMITTED BY  |  |  | ,                |                     | Complete (if applicable)  |     |  |  |
| Thomas W. Cole  | Regis  | stration N   | No.              | 28,290              | 0 (202) 585-8000  |     |  |  |
| Name (Print/Type) Thomas W. Cole  | (Atto  | rney/Age   | ent)             | ,                   | Telephone (202) 303 3000  |     |  |  |
|   | 1 TO - C   | ommission  | ner for D        | atents              |   |     |  |  |
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PTO/SB/21 (0 Please type a plus sign (+) inside this box  $\rightarrow$  [+] Approved for use through 10/31/2002. OMB 0651-003 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCI Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 09/554,599 TRANSMITTAL **Filing Date** May 26, 2000 **FORM** First Named Inventor (to be used for all correspondence after initial filing) Kazuo Imamura Group Art Unit 2877 **Examiner Name** Kaveh C. Kianni Attorney Docket Number 740819-383 Total Number of Pages in This Submission ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form Assignment Papers (for an Application) Appeal Communication to Board of Fee Attached Appeals and Interferences ☐ Submission of Replacement Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Proprietary Information ☐ After Final Declaration and Power of Attorney Status Letter Licensing-related Papers □ Affidavits/declaration(s) Application Data Sheet Petition Extension of Time Request Other Enclosure(s): Base Issue Fee Petition to Convert to a Provisional Transmittal Express Abandonment Request Application Power of Attorney, Revocation Information Disclosure Statement Change of Correspondence Address Certified Copy of Priority ☐ Terminal Disclaimer Document(s) Request for Refund Response to Missing Parts/ CD. Number of CD(s) Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Remarks The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 (740819-383) for the above identified docket number. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Thomas W. Cole, Reg. No. 28,289 Nixon Peabody LLP Individual name 409 9th Street, N.W. Suite 900 Washington, D.C. 20004-2128 Signature January 22, 2004 Date **CERTIFICATE OF MAILING** CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] I hereby certify that this correspondence is being: deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at Signature Date